

Office of the Secretary of the Treasury

§ 15.737-5

- 15.737-4 Other discipline.
- 15.737-5 Records.

Subpart B—Rules Applicable to Post Employment Practice by Officers and Employees of the Department

- 15.737-6 Interpretative standards.

Subpart C—Administrative Enforcement Proceedings

- 15.737-7 Authority to prohibit practice.
- 15.737-8 Special orders.
- 15.737-9 Receipt of information concerning former Treasury employee.
- 15.737-10 Conferences.
- 15.737-11 Institution of proceeding.
- 15.737-12 Contents of complaint.
- 15.737-13 Service of complaint and other papers.
- 15.737-14 Answer.
- 15.737-15 Reply to answer.
- 15.737-16 Proof; variance; amendment of pleadings.
- 15.737-17 Motions and requests.
- 15.737-18 Representation.
- 15.737-19 Administrative Law Judge.
- 15.737-20 Hearings.
- 15.737-21 Evidence.
- 15.737-22 Depositions.
- 15.737-23 Transcript.
- 15.737-24 Proposed findings and conclusions.
- 15.737-25 Decision of the Administrative Law Judge.
- 15.737-26 Appeal to the General Counsel.
- 15.737-27 Decision of the General Counsel.
- 15.737-28 Notice of disciplinary action.

Subpart D—Other Departmental Proceedings

- 15.737-29 Review by the General Counsel.

AUTHORITY: 92 Stat. 1864 (18 U.S.C. 207), as amended.

SOURCE: 45 FR 39842, June 12, 1980, unless otherwise noted.

Subpart A—General Provisions

§ 15.737-1 Scope.

This part contains rules governing discipline of a former officer or employee of the Department of the Treasury because of a post employment conflict of interest. Such discipline may include prohibition from practice before the Department or a separate statutory agency thereof as those terms are defined in this part.

§ 15.737-2 Definitions.

For the purpose of this part—(a) The term *Department* means the Depart-

ment of the Treasury and includes the separate statutory agencies thereof.

(b) The term *Director* means the Director of Practice.

(c) The term *General Counsel* means the General Counsel of the Department.

(d) The term *practice* means any informal or formal appearance before, or, with the intent to influence, any oral or written communication to the Department or, where applicable, to a separate statutory agency thereof on a pending matter of business on behalf of any other person (except the United States).

(e) The term *separate statutory agency thereof* means an agency or bureau within the Department designated by rule by the Director, Office of Government Ethics, as a separate agency or bureau. The Internal Revenue Service, Bureau of Alcohol, Tobacco and Firearms, United States Secret Service, Bureau of the Mint, United States Customs Service, Bureau of Engraving and Printing, and Comptroller of the Currency were so designated effective July 1, 1979.

§ 15.737-3 Director of Practice.

There is, in the Office of the Secretary of the Treasury, the Office of Director of Practice. The Director shall institute and provide for the conduct of disciplinary proceedings involving former employees of the Department as authorized by 18 U.S.C. 207(j), and perform such other duties as are necessary or appropriate to carry out his/her functions under this part.

§ 15.737-4 Other discipline.

For activity alleged to violate 18 U.S.C. 207 (a), (b) or (c), the Director may also bring a disciplinary proceeding pursuant to the regulations governing practice before the Bureau of Alcohol, Tobacco and Firearms or the Internal Revenue Service as found in 31 CFR part 8 and 31 CFR part 10, respectively. Such proceeding may be consolidated with any proceeding brought pursuant to this part.

§ 15.737-5 Records.

There are made available to public inspection at the Office of Director of